

How European Union Policies and Programmes are Prepared

How to follow and influence developments in EU policy.

www.hyperion.ie/suicide.htm

PRESENTER

Dr. Sean McCarthy
Hyperion Ltd
sean.mccarthy@hyperion.ie
www.hyperion.ie

©Hyperion 2001

Slide 1

www.hyperion.ie

CONTENTS

Background to EU Policy

The EU Institutions + Who's Who in the EU

How EU Policy and Programmes are Prepared

The EU and Non-Governmental Organisations

Overview of EU Funding: Case Study- Suicide Research

Conclusions and Discussion

©Hyperion 2001

Slide 2

www.hyperion.ie

A BRIEF HISTORY OF THE EU

1945 +	European Movement, Council of Europe etc.	
1952	European Coal and Steel Community	ECSC
1957	European Atomic Energy Community	(EURATOM)
1957	Treaty of Rome European Economic Community	EEC
1970's	New Members	
1987	Single European Act	
1991	Maastricht Treaty	
1998	Amsterdam Treaty	
2000	Nice Treaty	

©Hyperion 2001

Slide 3

www.hyperion.ie

PHILOSOPHY OF THE EU

PROSPERITY



DEMOCRACY



PEACE

©Hyperion 2001

Slide 4

www.hyperion.ie

EU MEMBERSHIP

1958 Belgium, France, W. Germany, Italy, Luxembourg, Netherlands,

1973 Denmark, Ireland, United Kingdom

1981 Greece

1986 Portugal Spain

1995 Austria Finland Sweden

©Hyperion 2001

Slide 5

www.hyperion.ie

ENLARGEMENT OF THE EU

1987 Turkey

1990 Cyprus, Malta

1992 Switzerland (Not Proceeding: Voted closer cooperation in 2000)

1994 Hungary, Poland

1995 Bulgaria, Estonia, Latvia, Lithuania, Romania, Slovakia

1996 Czech Republic, Slovenia

©Hyperion 2001

Slide 6

www.hyperion.ie

CONTENTS

Background of the EU

→ The EU Institutions + Who's Who in the EU

How EU Policy and Programmes are Prepared

The EU and Non-Governmental Organisations

Overview of EU Funding: Case Study- Suicide Research

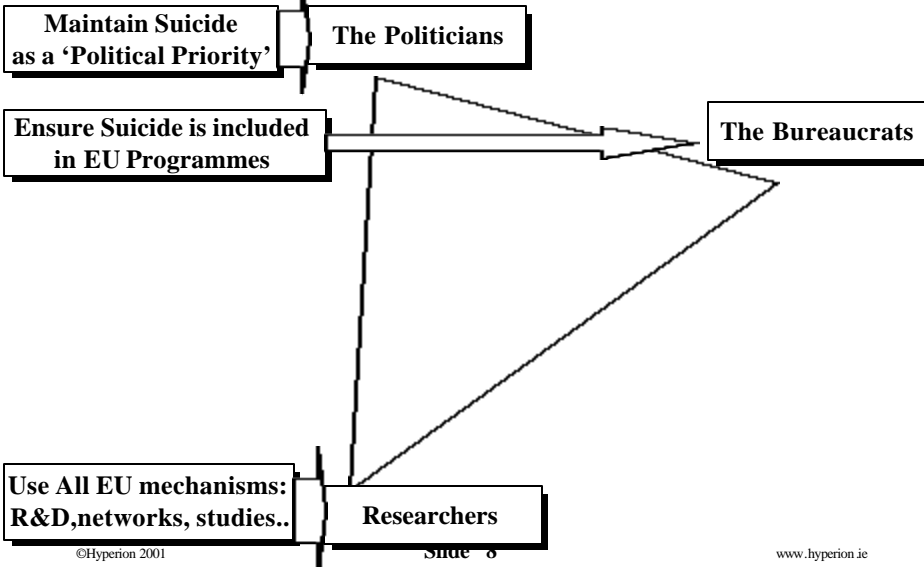
Conclusions and Discussion

©Hyperion 2001

Slide 7

www.hyperion.ie

The Players in the EU Process



©Hyperion 2001

Slide 8

www.hyperion.ie

INSTITUTIONS OF THE EUROPEAN UNION

Main Institutions

Council of Ministers	Representatives from National Governments
European Commission	Civil Servants
European Parliament	Elected representatives
Court of Justice	Legal issues
Court of Auditors	Financial issues

Minor Institutions

Economic and Social Committee	Representatives of trade unions and professional bodies
The Consultative Committee	Representatives of industrial sectors
Committee of the Regions	Representatives of the Region

Details of these available on <http://europa.eu.int>

©Hyperion 2001

Slide 9

www.hyperion.ie

ROLE OF THE INSTITUTIONS

Council of Ministers (http://ue.eu.int/)	Approves Legislation 15 members (1 representative from each country)
--	--

European Commission (EC) (http://europa.eu.int/comm/)	Administers activities of the EU 20 commissioners 15000 staff and outside 'experts'
--	--

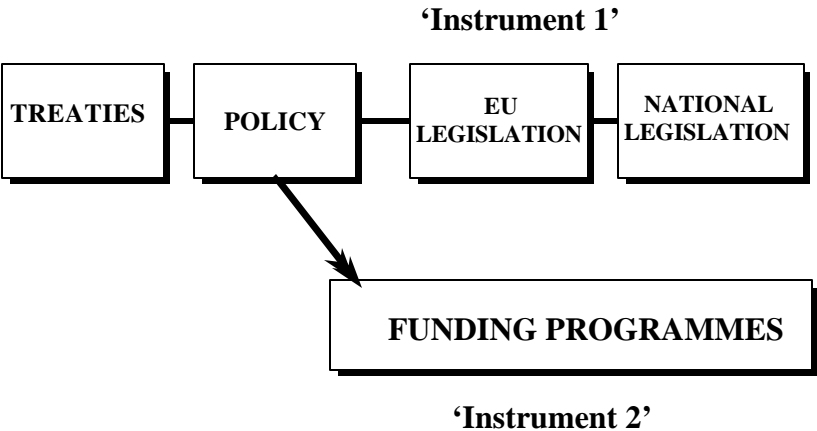
European Parliament (http://europarl.eu.int/)	Consulted on EU Legislation Increased power since Maastricht 626 members Own staff of 2600
---	--

©Hyperion 2001

Slide 10

www.hyperion.ie

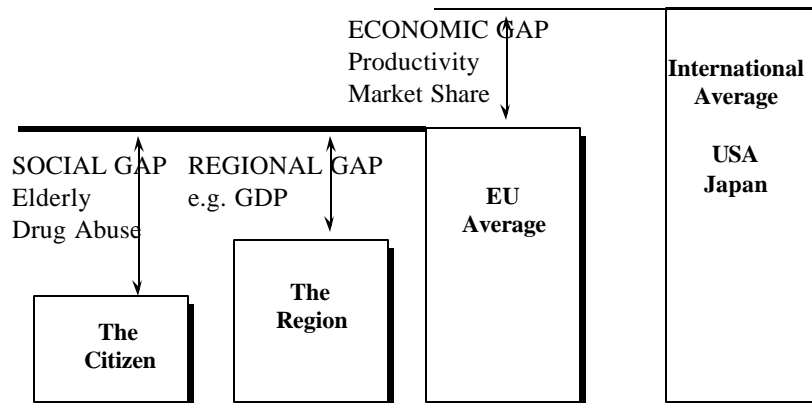
ACTIVITIES OF THE EU



EUROPEAN LEGISLATION

Regulations	Directly applied
Directives	Final form decided at National Level
Decisions	Binding to those addressed e.g. Member States, Organisations, Individuals
Recommendations or opinions	Not Binding

BACKGROUND TO EU POLICIES



©Hyperion 2001

Slide 13

www.hyperion.ie

R&D PROGRAMMES: KEY ISSUES

Address Problems of Society

Improve Competitiveness of EU Industry

Sustainable Development and Job Creation

Globalisation of Knowledge

Contribute to Development/Implementation of EU Policies

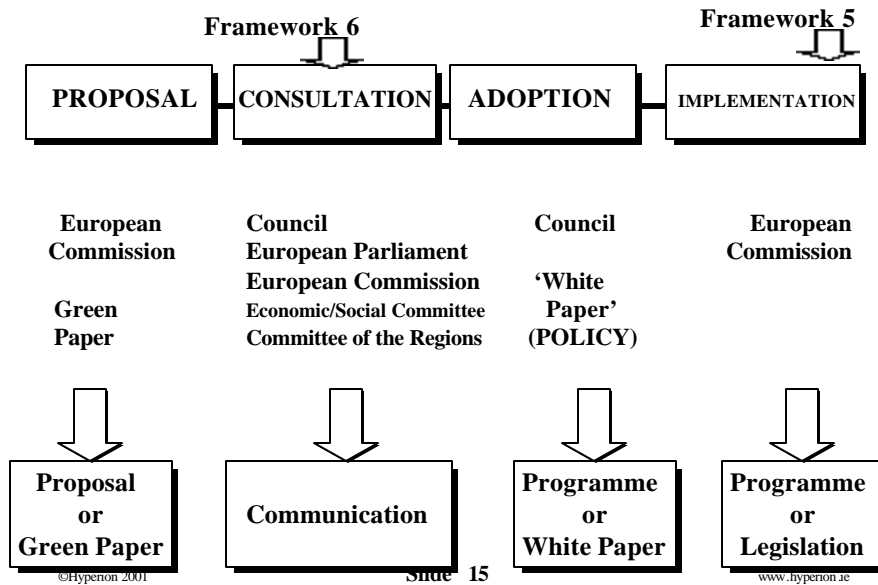
EU: A focal point for Scientific/Technological Excellence

©Hyperion 2001

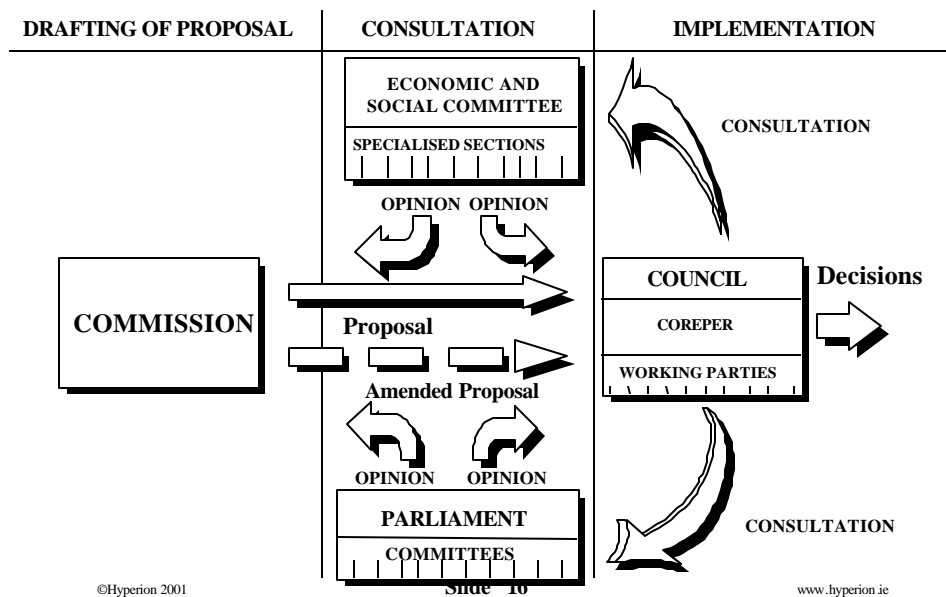
Slide 14

www.hyperion.ie

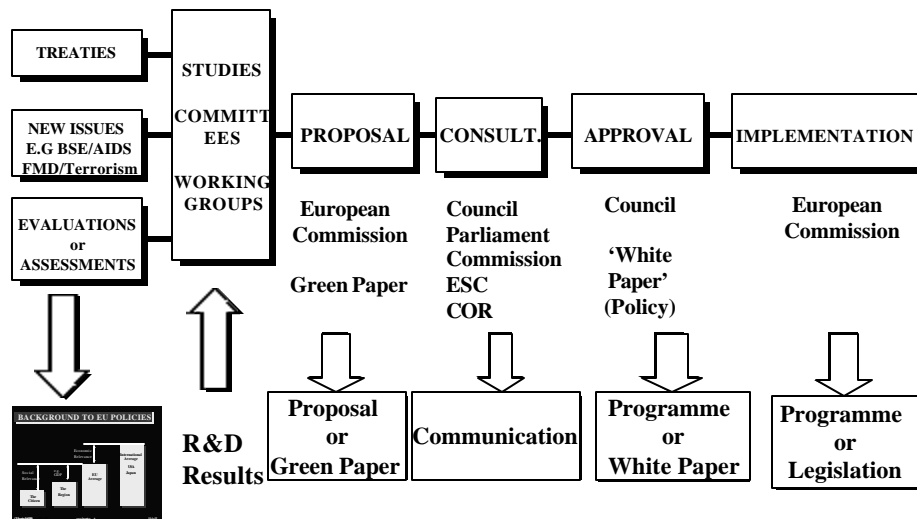
HOW POLICY IS FORMULATED



THE CONSULTATION PHASE



HOW EU POLICY IS FORMULATED

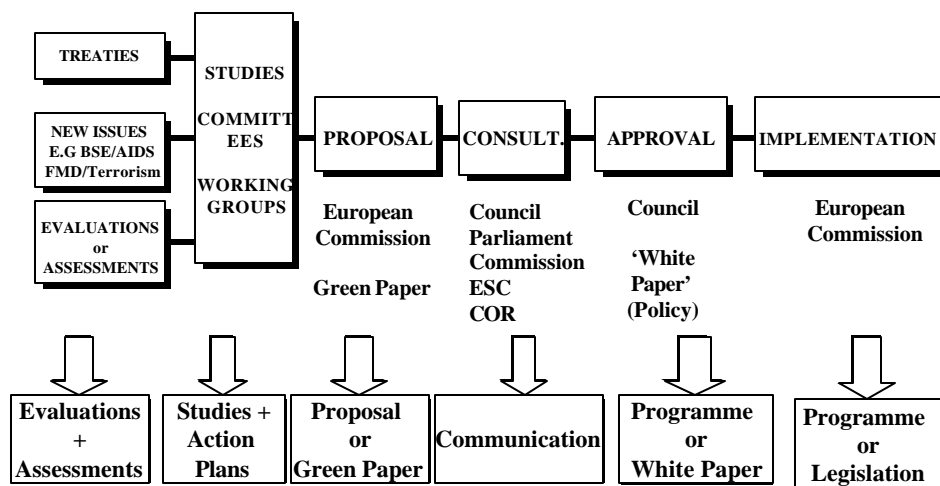


©Hyperion 2001

Slide 17

www.hyperion.ie

HOW EU POLICY IS FORMULATED



©Hyperion 2001

Slide 18

www.hyperion.ie

EXAMPLES OF EU DOCUMENTS

EC Green Papers

Green Paper - Living and Working in the Information Society: People First

Communication

Action Programme on Injury Prevention (COM(97) 178)

Communication

Communication on the Development of Public Health Policy

EC White Papers: Examples

European Social Policy - A Way Forward for the Union - A White Paper

OECD

Social Cohesion Indicators: D3: Suicide

©Hyperion 2001

Slide 19

www.hyperion.ie

FUTURES REPORT: <http://futures.jrc.es>

- The Futures Project: Synthesis Report
- The Futures Project: Technology Map
- The Competitiveness Map: Avenues for Growth
- Employment Map: Jobs, skills and working life on the road to 2010
- Knowledge and Learning: Towards a Learning Europe
- The Wider Picture: Enlargement and Cohesion
- Information and Communication Technologies and the Information Society
- Life Sciences and the Frontier of Life
- Natural Resources and the Environment
- Demographic and Social Trends
- Demographic and Social Trends: The Future of Education in Europe until 2010
- Demographic and Social Trends: Mosaic Living
- Demographic and Social Trends: Changing Demography, Constraints, Bottlenecks

©Hyperion 2001

Slide 20

www.hyperion.ie

Useful EU Websites

EUROPA

<http://europa.eu.int>

Eurostat

<http://europa.eu.int/eurostat.html>

Treaties

<http://europa.eu.int/abc/obj/treaties/en/entoc.htm>

White Papers

http://europa.eu.int/comm/off/white/index_en.htm

Green Papers

http://europa.eu.int/comm/off/green/index_en.htm

EUR-OP (Official Publications Office)

www.eur-op.eu.int/general/en/indexen.htm

Grants and Loans

<http://europa.eu.int/comm/sg/aides/en/info.htm>

Eurolex Official Journal

<http://europa.eu.int/eur-lex/en/index.html>

EU DATABASES

<http://europa.eu.int/geninfo/info-en.htm>

CELEX

EU Laws

ECLAS

EU Documents

TED

Public Tenders

IDEA

Who's Who in the EU

EUR-LEX

EU Law

SCAD Plus

EU Library

INDIVIDUALS IN THE DIFFERENT EU INSTITUTIONS

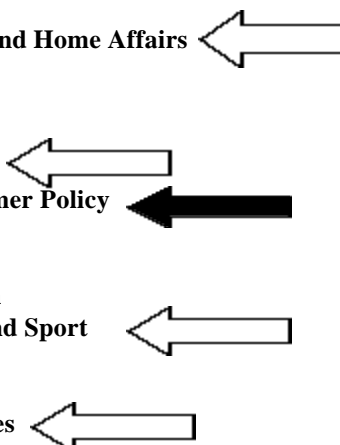
©Hyperion 2001

Slide 23

www.hyperion.ie

EUROPEAN PARLIAMENT COMMITTEES

Foreign Affairs, Human Rights, Common Security and Defence Policy
Budgets
Budgetary Control
Citizens' Freedoms and Rights, Justice and Home Affairs
Economic and Monetary Affairs
Legal Affairs and the Internal Market
External Trade, Research and Energy
Employment and Social Affairs - EMPL
Environment, Public Health and Consumer Policy
Agriculture and Rural Development
Fisheries
Regional Policy, Transport and Tourism
Culture, Youth, Education, the Media and Sport
Development and Cooperation
Constitutional Affairs
Women's Rights and Equal Opportunities
Petitions



The diagram consists of several white arrows pointing left towards specific committee names. One thick black arrow points left towards 'Environment, Public Health and Consumer Policy'. The arrows point from the right side of the slide towards the following committees: Citizens' Freedoms and Rights, Justice and Home Affairs; External Trade, Research and Energy; Employment and Social Affairs - EMPL; Culture, Youth, Education, the Media and Sport; and Women's Rights and Equal Opportunities.

©Hyperion 2001

Slide 24 <http://europarl.eu.int> www.hyperion.ie

EUROPEAN PARLIAMENT COMMITTEES (Irish Members)

Foreign Affairs, Human Rights, Common Security and Defence Policy (John Cushnahan, Gerard Collins, Proinsias De Rossa, Patricia McKenna)

Budgets (Joe McCartin, Niall Andrews, Pat the Cope Gallagher)

Budgetary Control (Liam Hyland, Joe McCartin)

Citizens' Freedoms and Rights, Justice and Home Affairs (Mary Banotti)

Economic and Monetary Affairs (Jim Fitzsimons (sub))

Legal Affairs and the Internal Market (Brian Crowley (sub) Nuala Aherne (sub))

Industry, External Trade, Research and Energy (Nuala Aherne, Pat the Cope Gallagher)

Employment and Social Affairs (Brian Crowley, Proinsias De Rossa, Pat Cox)

Environment, Public Health and Consumer Policy (Avril Doyle, Jim Fitzsimons, Patricia McKenna)

Agriculture and Rural Development (Avril Doyle, Liam Hyland)

Fisheries (Pat the Cope Gallagher)

Regional Policy, Transport and Tourism (Gerard Collins, Dana Rosemary Scallan, John Cushnahan)

Culture, Youth, Education, the Media and Sport (Dana Rosemary Scallan)

Development and Cooperation (Niall Andrews)

Constitutional Affairs (No Irish Representative)

Women's Rights and Equal Opportunities (Mary Banotti, Brian Crowley)

Petitions (Proinsias De Rossa, Mary Banotti)

©Hyperion 2001

Slide 25

www.hyperion.ie

ECONOMIC AND SOCIAL COMMITTEE

“ Representatives of various categories of economic and social activity”

SECTIONS

Agriculture

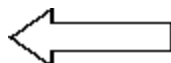
Transport and Communication

Energy and Nuclear Questions

Economic and Nuclear Questions

Industry, Commerce, Crafts and Services

Social Questions



External Relations

Regional Development



Protection of the Environment, Public Health and Consumer Affairs

©Hyperion 2001

Slide 26

www.hyperion.ie

EUROPEAN COMMISSION DIRECTORATES (Pre-Prodi)

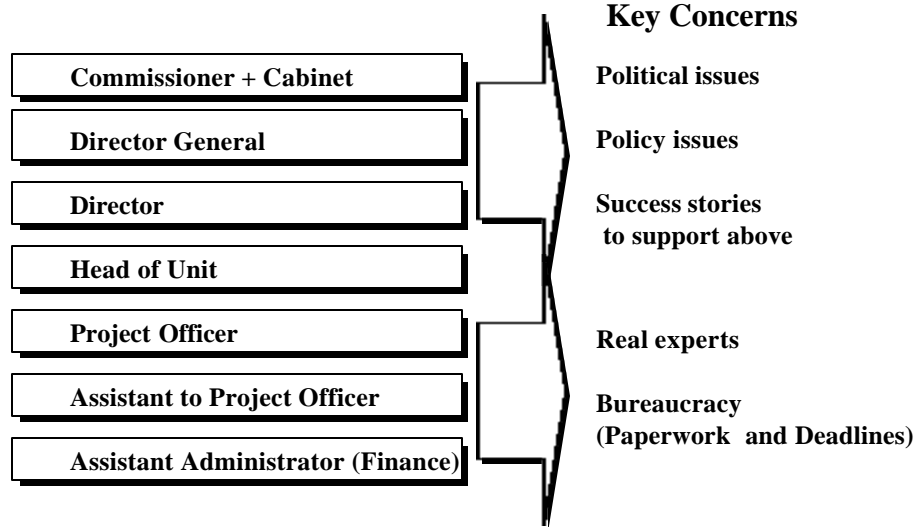
DGI	External Relations
DGII	Economic & financial affairs
DGIII	Industry
DGIV	Competition
DGV	Employment, Industrial Relations and Social Affairs
DGVI	Agriculture
DGVII	Transport
DGVIII	Development
DGIX	Personnel and Administration
DGX	Information, Communication Culture and Audiovisual
DGXI	Environment, Nuclear Safety and Civil Protection
DGXII	Science and research and development (+the JRC)
DGXIII	Telecommunications, Information Market, Exploitation R&D
DGXIV	Fisheries
DGXV	Internal Market and Financial Services
DGXVI	Regional Policies and Cohesion
DGXVII	Energy
DGXVIII	EC credit and investments (borrowing and lending)
DGXIX	Budgets
DGXXI	Customs and indirect taxation
DGXXII	Education, Training and Youth
DGXXIII	Enterprise policy, Distributive Trades, Tourism, Co-operatives
DGXXIV	Consumer Policy and Consumer Health Protection

NEW DIRECTORATES-GENERAL AND SERVICES (Prodi)

- Secretariat General
- Legal Services
- Press and Communications
- Economic and Financial Affairs
- Enterprise
- Competition
- Employment and Social Affairs
- Agriculture
- Transport
- Environment
- Research
- Joint Research Centre
- Information Society
- Fisheries
- Internal Market
- Regional Policy
- Energy
- Taxation and Customs Union

- Education and Culture
- Health and Consumer Protection
- Justice and Home Affairs
- External Relations
- Trade
- Development
- Enlargement
- Common Service for External Relations
- Humanitarian Aid Office -ECHO
- Eurostat
- Personnel and Administration
- Inspectorate General
- Budget
- Financial Control
- European Anti-Fraud Office
- Joint Interpreting and Conference Service
- Translation Service
- Publications Office

EUROPEAN COMMISSION : STAFF GRADES



©Hyperion 2001

Slide 29

www.hyperion.ie

RELEVANCE OF NATIONAL REPRESENTATIVES

They are the EC contact points in the Member States

They argue for National proposals during evaluation

They are asked for opinions on new programmes

**They recommend National people to the Commission
(Committees, workshops, conferences, experts..)**

www.cordis.lu/src/ncps.htm

©Hyperion 2001

Slide 30

www.hyperion.ie

CONTENTS

Background of the EU

The EU Institutions + Who's Who in the EU

How EU Policy and Programmes are Prepared



The EU and Non-Governmental Organisations

Overview of EU Funding: Case Study- Suicide Research

Conclusions and Discussion

©Hyperion 2001

Slide 31

www.hyperion.ie

The EU and the NGOs

COMMISSION DISCUSSION PAPER

**“The Commission and Non-Governmental Organisations:
Building a Stronger Partnership”**

presented by

President Prodi and Vice-President Kinnock

Website:

http://europa.eu.int/comm/secretariat_general/sgc/ong/en/index.htm

©Hyperion 2001

Slide 32

www.hyperion.ie

QUOTATIONS

“ The role of the NGOs in representing the views to the European Institutions of specific groups of citizens (such as people with disabilities, ethnic minorities) or on specific issues (such as on the environment, animal welfare, world trade).”

“ The Commission encourages organisations to work together in common associations at the European Level since such organisations considerably facilitate the efficiency of the consultation process”

“The ability of European NGO associations and networks to channel and focus the views of the various national NGOs is very useful for the Commission”

**Source: The Commission and Non-Governmental Organisations:
Building a Stronger Partnership**

©Hyperion 2001

Slide 33

www.hyperion.ie

COOPERATION BETWEEN EU AND NGOs

Dialogue/consultation in the context of policy shaping

NGOs as information relays

Funding of NGO led activities

NGOs as actors implementing EU Programmes

Fostering civil society/civil development at EU level

©Hyperion 2001

Slide 34

www.hyperion.ie

EU Funding for NGOs

TOTAL: 1000 million euros per annum

Humanitarian Aid 400 million euros

Social Issues 70 million euros

Educational Issues 50 million euros

External Development co-operation

Environment, Democracy Programmes, etc.

©Hyperion 2001

Slide 35

www.hyperion.ie

Websites for EU NGO Cooperation

EU Websites: www.hyperion.ie/euwebsites.htm

EU Grants http://europa.eu.int/comm/secretariat_general/index_en.htm

Grant Management: http://europa.eu.int/comm/sg/sgc/info-subv/index_en.htm

List of NGOs : http://europa.eu.int/comm/echo/en/index_en.html

Special Interest Groups: http://europa.eu.int/comm/sg/sgc/lobbies/index_en.htm

Research and Development: www.cordis.lu

©Hyperion 2001

Slide 36

www.hyperion.ie

CONTENTS

Background of the EU

The EU Institutions + Who's Who in the EU

How EU Policy and Programmes are Prepared

The EU and Non-Governmental Organisations

➡ Overview of EU Funding: Case Study- Suicide Research

Conclusions and Discussion

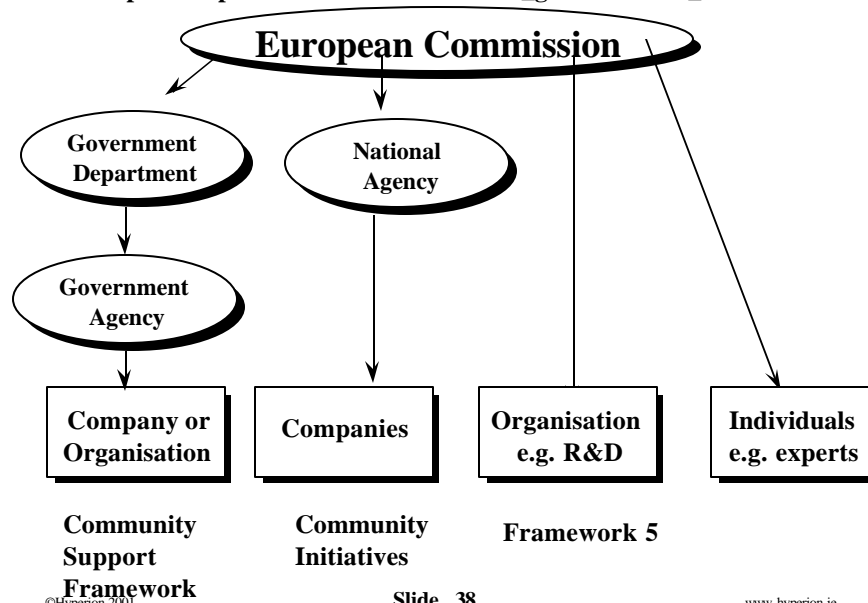
©Hyperion 2001

Slide 37

www.hyperion.ie

FLOW OF EU MONEY

http://europa.eu.int/comm/secretariat_general/index_en.htm



©Hyperion 2001

Slide 38

www.hyperion.ie



AGENDA 2000

STRUCTURAL FUNDS - INITIATIVES

2000 - 2006

- Regional Co-operation (INTERREG)
- Rural development - (LEADER)
- Urban Renewal (URBAN)
- Equal Opportunities (EQUAL)

©Hyperion 2001

Slide 39

Source: Mr. Poulser DGXVI

FIFTH FRAMEWORK PROGRAMME (R&D)

**Competitive
and
Sustainable
Growth**

**(GROW)
(2.785 beuro)**

**User-Friendly
Information
Society**

**(IST)
(3.6 beuro)**

**Energy,
Environment
and
Sustainable
Development**

**(EESD)
(1.083 beuro)**

**Quality of Life
Management
of
Living
Resources**

**(QOL)
(2.413 beuro)**

Horizontal Programmes

International Co-operation (INCO)(0.475 beuro) (3.5%)

Innovation and SME Involvement (IPS)(0.363 beuro) (2.5%)

Improving Human Potential (IHP) (1.28 beuro) (10%)

©Hyperion 2001

Slide 40

www.hyperion.ie

First Draft of Framework 6(?)

SECTION 1: THEMATIC PRIORITIES

- 1. Genomics and Biotechnology**
- 2. Information Society Technologies**
- 3. Nanotechnologies**
- 4. Aeronautics and space**
- 5. Food Safety**
- 6. Global Change and Sustainable Energy**
- 7. Citizens and governance of the European Knowledge Society**

SECTION 2: STRUCTURING OF THE EUROPEAN RESEARCH AREA

- 1. Research and Innovation**
- 2. Researcher Mobility**
- 3. Infrastructure**
- 4. Science and Society**

SECTION 3: STRENGTHENING THE FOUNDATIONS OF THE ERA

- 1. Co-ordination of European Research**
- 2. Networking of National Research Activities**

EXAMPLES OF PROGRAMMES

**Fifth Framework Programme
(Quality of Life)**

DG Employment and Social Affairs

Action Programme on Injury Prevention COM(97) 178

Framework 6 ?

What can be funded?

Research and Development (FP5 and FP6)

Networks: Thematic Networks (FP5), Network of Excellence (FP6)

Workshops/Conferences (Accompanying Measures)

Studies (Calls for Tenders)

Infrastructures (facilities, databases,) (FP5 and FP6)

Mobility of Personnel

©Hyperion 2001

Slide 43

www.hyperion.ie

CONTENTS

Background of the EU

The EU Institutions + Who's Who in the EU

How EU Policy and Programmes are Prepared

The EU and Non-Governmental Organisations

Overview of EU Funding: Case Study- Suicide Research



Conclusions and Discussion

©Hyperion 2001

Slide 44

www.hyperion.ie

HOW TO STAY UP TO DATE

DAILY www.cordis.lu (CORDIS NEWS)

MONTHLY: **EC Publications**
 European Sectoral Associations
 Information Services (e.g. Research Europe)

GENERAL: **Conference Presentations by EU Officials**
 National Contact Points

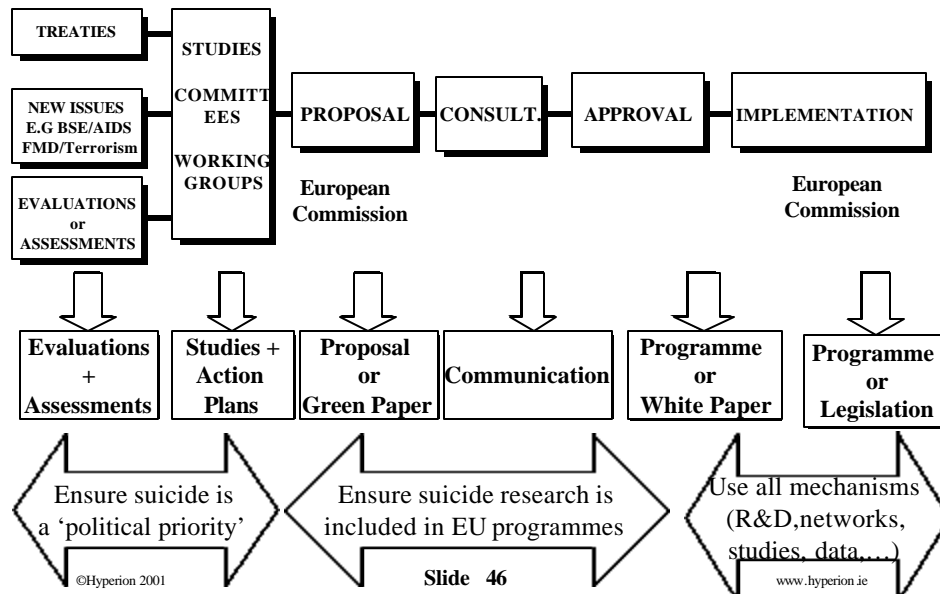
THE BEST: **Produce World Class Science (Real Experts)**
 Existing Contracts (especially co-ordinator)
 Working as an 'Expert'

©Hyperion 2001

Slide 45

www.hyperion.ie

STRATEGY FOR EU POLICY/PROGRAMMES



©Hyperion 2001

Slide 46

www.hyperion.ie

HOW TO PROCEED

Identify relevant work packages in Funding Programmes

Identify relevant people(EU officials, experts, advisors)

Study background documentation (studies+procedures)

Promote your expertise through conferences/associations

Participate in committees, workshops, evaluations...

Then start writing proposals !